

Stressor-specific Guidance Document: Underwater Noise

Updated April 2025

The guidance documents are intended to be available for regulators and advisors as they carry out their decision-making and for developers and their consultants as they prepare consenting and licensing applications. This stressor-specific document presents an overview of the scientific information that is known for underwater noise.

It is not intended to replace any regulatory requirements or prescribe action for a particular risk.

Introduction to Stressor

Animals in the marine environment rely on sound for communication, social interaction, orientation and navigation, foraging, and evasion. Ambient underwater sound conditions are made up of animal vocalizations and other behavior, tidal currents and waves, and wind and other weather conditions. Anthropogenic sources, such as shipping, boating, and other industrial activities, also contribute to underwater noise in the marine environment (Duarte et al. 2021). The noise associated with such activities may affect animals that rely on sound, such as marine mammals, fish, sea turtles, and invertebrates; however, the extent to which marine animals detect sound varies by frequency and taxonomy. Figure 1 shows an abbreviated version of where this stressor fits within the guidance document framework.

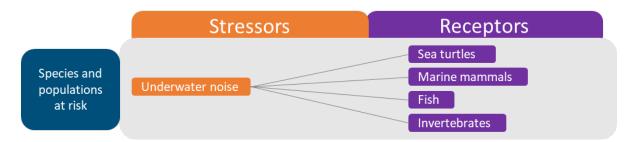


Figure 1. Portion of the guidance document framework depicting underwater noise and key receptors, which are relevant under the regulatory category of species and populations at risk. The full framework can be found in the background guidance document.

Underwater noise from marine renewable energy (MRE) developments may come from construction and maintenance activities, as well as from operational devices and their components. Effects from anthropogenic sources of noise may include stress, behavioral changes (such as avoidance), physical injuries, temporary or permanent impacts to hearing ability in marine animals, or masking of other important cues in the marine environment. While construction and maintenance activities may create loud underwater noise, there are methods to manage or mitigate such noise and these activities are usually of relatively short duration. Noise from operational MRE devices is lower in amplitude than some sources of anthropogenic noise and natural sounds (Figure 2). To understand the potential effects of underwater noise from operational MRE devices on marine animals, an assessment is needed of the ambient noise environment, frequency and intensity at which key marine species receive sound pressure levels or particle motion, and noise output from the device. This will allow noise output from the device to be assessed and related to effects on marine animals.

¹ This stressor-specific document should be read in conjunction with the background guidance document, which can be found on Tethys: https://tethys.pnnl.gov/guidance-documents.



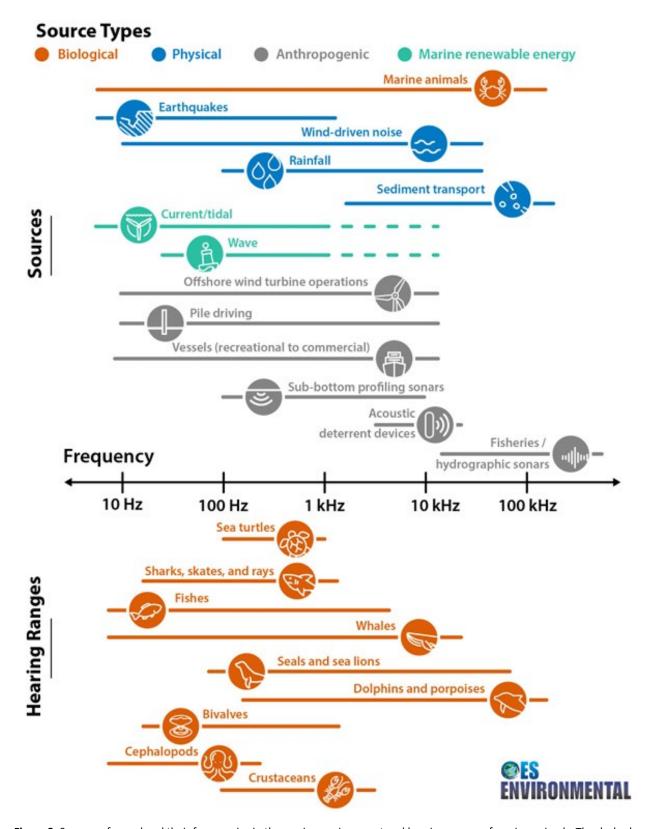


Figure 2. Sources of sound and their frequencies in the marine environment and hearing ranges of marine animals. The dashed lines at higher frequencies for MRE devices conveys scientific uncertainty about the upper frequency limit of radiated noise. From Garavelli et al. (2024), adapted from Polagye and Bassett (2020).





Existing Data and Information

2024 State of the Science

Section 3.2 of <u>Chapter 3 of the 2024 State of the Science Report</u> (Garavelli et al. 2024) contains a section that covers underwater noise in detail. It synthesizes research and findings from current MRE projects to provide a comprehensive look at the status of knowledge for effects from underwater noise.

Evidence Base

OES-Environmental has developed an evidence base of key research papers and monitoring reports for underwater noise that support the understanding and risk retirement for small numbers of MRE devices². The evidence base has been recently updated and can be accessed on Tethys³: <u>Underwater Noise Evidence Base</u>. A limited number of the studies included in the underwater noise evidence base are shown at the end of this document in the Additional Information section (Table 1).

Monitoring
Datasets
Discoverability
Matrix

OES-Environmental has also developed the Monitoring Datasets Discoverability Matrix, an interactive tool that allows the user to locate datasets by stressor, receptor, and other specifications for underwater noise, as shown in Figure 3. In addition to the research studies and key documents included in the evidence base, the matrix includes baseline and post-installation monitoring reports. These are compiled from OES-Environmental Metadata, which provides links and contacts to existing datasets from MRE projects and research studies. The metadata includes information solicited from developers and researchers on environmental monitoring for MRE, which is updated annually.

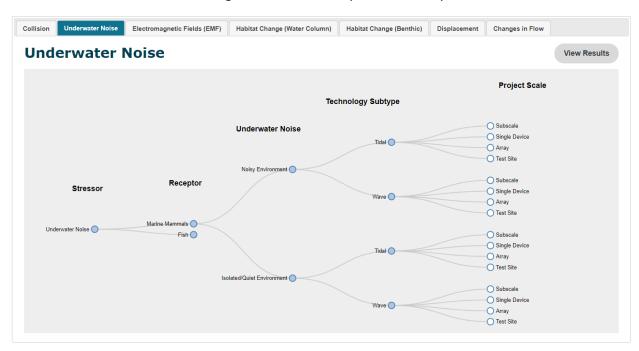


Figure 3. Screenshot of the Monitoring Datasets Discoverability Matrix selections for underwater noise on Tethys. Selections under fish mirror those shown for marine mammals.

³ <u>Tethys</u> is the U.S. Department of Energy's online platform that aims to facilitate the exchange of data and information on the environmental effects of wind and MRE, and serves as a commons for the <u>OES-Environmental</u> initiative. Tethys is developed and maintained by the Pacific Northwest National Laboratory.



² For the purposes of risk retirement, small developments have been defined as one to six devices.



Management Measures Tool The <u>Management Measures Tool</u> has been developed by OES-Environmental to show management (or mitigation) measures from past or current MRE projects as a reference to help manage potential risks from future projects. The tool can be filtered by technology (tidal or wave), management measures, project phase, stressor, and/or receptor. An example of management measures returned for underwater noise is shown in Figure 4 below.

Tethys Knowledge Base

The Tethys Knowledge Base hosts thousands of documents about the environmental effects of MRE. All documents associated with underwater noise can be found here.

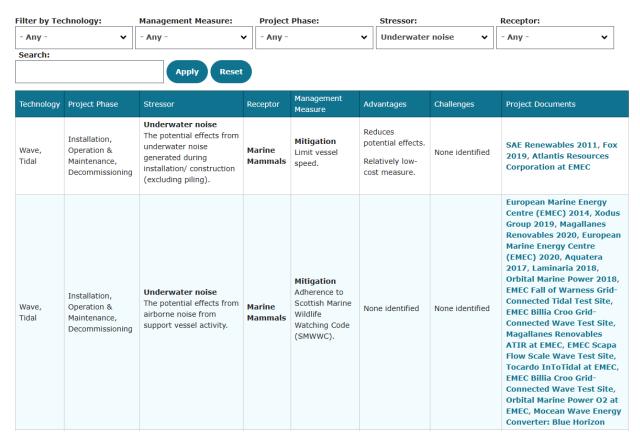


Figure 4. Screenshot of the Management Measures Tool selections for underwater noise.

International Standards

Under the auspices of the International Electrotechnical Commission (IEC) Technical Committee 114 (TC 114), which develops international consensus standards for marine energy conversion technologies, an international consensus Technical Specification has been published. IEC 62600-40 lays out a standardized approach for characterizing radiated noise around MRE devices (IEC 2019). The full specification is available <a href="https://example.com/here-energy-conversion-left-noise

Regulatory Thresholds and Guidance The clearest instance of regulatory thresholds for impacts from underwater noise are found in the United States (U.S.). The U.S. thresholds for marine mammals are set by the National Marine Fisheries Service (2018). While there are no set thresholds for fish, interim sound exposure guidelines used in the U.S. can be found in Popper et al. (2014). These thresholds and guidance can be used as a proxy to assess the potential for effects from MRE devices and are shown at the end of this document in Additional Information, Table 2.





Pathway to Risk Retirement

The evidence base to date suggests that the effects of underwater noise from small-scale MRE developments are limited, and the risk can be retired. Underwater noise measurements from operational MRE devices show that noise levels generally fall below those likely to cause injury or harm to marine mammals and fish (see Additional Information, Tables 1 and 2) and observed in situ behavioral change is unlikely to be attributed solely to radiated noise. To date there is no evidence that operational MRE device noise physically or behaviorally harms marine animals. Overall, the scientific community has reached a general consensus that underwater noise from operational devices within small-scale MRE developments does not pose a risk to marine animals (Garavelli et al. 2024, Copping et al. 2020a, Copping et al. 2020b, Polagye and Bassett 2020).

Some uncertainties remain, and more studies will be useful to increase understanding. A complete list of remaining uncertainties and research needs is available in Section 3.2 of Chapter 3 of the 2024 State of the Science Report (Garavelli et al. 2024). Key examples include the need to:

- Better understand the links between underwater noise exposure (including sound pressure, particle motion, and substrate vibration) and effects on fish and invertebrates (Popper et al. 2023).
- Study sensory capabilities, including those related to particle motion and substrate vibration to understand meaningful thresholds for disturbance (from the animal's perspective, e.g., masking) with respect to behavioral and physiological responses.
- Research effects of operational underwater noise from MRE for sea turtles. This may be an emerging area of research as interest in developing MRE projects in tropical and subtropical areas increases.
- Collect comparable acoustic measurements across a broad range of MRE devices and settings, standardizing robust methods to further inform global risk identification.

Recommendations

Sharing data and information across the MRE industry and other marine industries will benefit general understanding of effects from underwater noise, including the cumulative effects of anthropogenic sources of noise in the ocean. As the MRE industry progresses, it will be important to continue to consider ambient noise levels, existing sources of noise, and sensitive species, to understand and minimize effects from underwater noise. Each new MRE device design should be characterized, using methodology consistent with the IEC TC 114 Technical Specification (62600-40) to describe the noise signature under different operating conditions (IEC 2019). Comparisons of noise measurements to thresholds for key species can inform regulatory approaches for consenting specific devices. Operational noise monitoring of devices could provide additional benefits, including understanding device health, even if not required for consenting.

Risk from underwater noise for small numbers of devices can be considered retired. However, this does not replace the need for measures to minimize effects from emissions of anthropogenic noise as required by existing regulations. If a project or technology developer has measured device noise output and the noise falls below the levels of harm or injury to sensitive marine species in the area, extensive studies of underwater noise at each new proposed project site may not be needed. While the MRE community will benefit from the internationally accepted standard for measuring underwater noise from MRE devices, TC 114, the industry would also benefit from regulatory action levels and guidance for protecting marine animals from underwater noise.





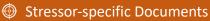
Additional Information

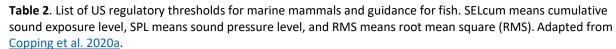
The evidence base for underwater noise can be found at: https://tethys.pnnl.gov/underwater-noise-evidence-base.

Table 1. A selection of studies from the evidence base for underwater noise effects on marine animals, in chronological order.

Project/Research Study	Location	Device type	Noise Measurements	Conclusion
EDF and DCNS Energies OpenHydro (2013-2014) (Lossent et al. 2017)	Paimpol Brehat, France	Tidal turbine	Operational SPL ranged from 118 to 152 dB re 1 μ Pa at 1 m in third-octave bands at frequencies between 40 and 8192 Hz, which were measured at distances between 100-2400 m from the turbine. The acoustic footprint of the device corresponds to a 1.5 km radius disk.	Physiological injury of marine mammals, fish, and invertebrates was improbable within the area of greatest potential impact. Permanent threshold shifts (PTS) and temporary threshold shifts (TTS) risks were non-existent for all target species. Behavioral disturbance may occur up to 1 km around the device for harbor porpoises only, but is of little concern for a single turbine.
SCHOTTEL Instream Turbine (2014) (Schmitt et al. 2015)	Strangford Lough, Northern Ireland	Tidal turbine	Highest operational noise levels were around 100 re μPa2/Hz at 9 m from the turbine.	Sounds levels were on the same order as natural and anthropogenic background noise measured.
ORPC Cobscook Bay Tidal Energy Project , TidGen® (2013-2017)	Maine, United States	Tidal turbine	Operational noise less than 100 dB re μPa2/Hz at 10 m, at 200-500 m from the turbine.	Sound was not detectable above ambient noise levels.
Fred. Olsen Bolt Lifesaver (2016-2018) (Polagye et al. 2017)	U.S. Navy Wave Energy Test Site (WETS) – O'ahu, United States	Wave energy converter	Operational noise of floating point absorber wave device was 114 dB re 1 μ Pa for median broadband SPL, and mean levels as high as 159 dB re 1 μ Pa were infrequently observed. At one point during the study, the WEC had a damaged bearing, which coupled with the operational noise reached 124 dB re 1 μ Pa.	Operational noise levels remained below acceptable thresholds. Received levels exceeded the U.S. regulatory threshold for auditory harassment of marine mammals (broadband level of 120 dB re 1 μ Pa) for only 1% of the deployment. These exceedance events were dominated by non-propagating flow noise and sources unrelated to the Lifesaver.
Inertial Sea Wave Energy Converter (Buscaino et al. 2019)	Pantelleria Island, Italy	Wave energy converter	Median broadband sound pressure levels at 63 Hz for specific wave heights were 73 dB re 1μPa before installation, 106 dB re 1μPa during, and 126 dB re 1μPa after at a range of 40m.	Levels of noise measured were higher after installation, especially at frequencies up to 4 kHz and increased with wave heights.
Wave Energy in Southern Europe (WESE) Project (Bald et al. 2022, Felis et al. 2020, 2021)	Biscay Marine Energy Platform (BiMEP) and Mutriku Wave Power Plant, Spain	Wave energy converter	Noise was recorded at the MARMOK A-5 device installed at BiMEP and the Mutriku Wave Power Plant, producing measurable sound from 40-120 Hz that exceeded ambient noise by up to 14 dB at 100 m, though this declined to 6 dB as significant wave height increased.	In general, the contribution of the device to the surrounding environment was deemed not significant.







		Animals of Interest		
Source	Measurement	Marine Mammals	Fishes	
NMFS (2018) – temporary threshold shifts	179 dB re 1 μPa2/s (SELcum)	Low-frequency cetaceans		
(TTS)	178 re 1 μPa2/s (SELcum)	Mid-frequency cetaceans		
	153 re 1 μPa2/s (SELcum)	High-frequency cetaceans		
	181 re 1 μPa2/s (SELcum)	Phocid pinnipeds		
	199 re 1 μPa2/s (SE cum)	Otariid pinnipeds		
Buehler et al. (2015); Tetra Tech Inc.	206 dB re 1 μPa (peak SPL)		Fish	
(2013) – physiological effects thresholds	187 dB re 1 μPa2/s (SELcum)		Fish > 2g	
	183 dB re 1 μPa2/s (SELcum)		Fish < 2g	
Stadler and Woodbury (2009); Tetra Tech	150 dB re 1 μPa (RMS)		Fish	
Inc. (2013) – behavioral effects thresholds				

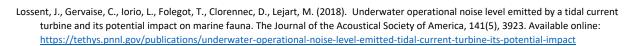
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